

AF/2121/p
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Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Liqun Chen, et al.

Re: Information Disclosure
Statement

Serial No.: 09/936,132

Group: 2121

Filed: September 4, 2001

Examiner: not yet assigned

For: "COMPUTING APPARATUS AND METHODS)
USING SECURE AUTHENTICATION)
ARRANGEMENTS"

Our Ref: B-4294PCT 619054-4

Date: April 12, 2001

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

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In accordance with the Applicants' duty to disclose information which may be material to the examination of this application, the undersigned respectfully requests that the Examiner consider on the merits the documents listed on the enclosed Form PTO-1449 (modified) before issuing the first Office Action on the merits. We are enclosing herewith a copy of each document listed on the enclosed Form PTO-1449 (modified).

The filing of this Information Disclosure Statement (IDS) shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The Applicants believe that this IDS is being submitted before the issuance of a first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance. Therefore, no official fees should be due; and this IDS should be considered on the merits. If this IDS is being submitted after the issuance of the first Office Action on the merits and before the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is authorized to charge Deposit Account No. 12-0415 \$180.00 (or any other required amount), which is the fee set forth in 37 C.F.R. § 1.97(c); and this IDS should be fully considered on the

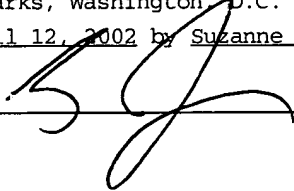
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merits, in accordance with 37 C.F.R. § 1.97(d). If this IDS is being submitted after the issuance of a Final Rejection or Notice of Allowance, then the Commissioner is not authorized to charge \$180.00 to Deposit Account No. 12-0415.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. (Notice of January 9, 1992, 1135 O.G. 13-25, at 25.)

The person making this statement is the practitioner who signs below on the basis of information supplied by an individual associated with the filing and prosecution of this application (37 C.F.R. § 1.56(c)) and on the basis of information in the practitioner's file.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Priority Mail in an envelope addressed to the "Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231", on April 12, 2002 by Suzanne Johnston.



Respectfully submitted,



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Enclosures: Form PTO-1449 (modified) (2 pages)
Copy of documents listed on Form PTO-1449 (modified)

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Form PTO-1449 (Modified)	ATTY DOCKET NO. B-4294PCT 619054-4	U.S. SERIAL NO. 09/936,132
LIST OF PATENTS AND PUBLICATIONS STATEMENT	APPLICANTS Liqun Chen, et al.	
	FILING DATE September 4, 2001	GROUP 2121

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	ISSUE DATE	NAME	CLASS	SUB- CLASS	FILING DATE or 102(e) DATE IF APPROPRIATE
	5,327,497	7/94	Mooney et al.	380	25	
	6,330,670 B1	12/01	England et al.	713	2	1/8/99

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
/	0 379 333 A1	7/90	EP			
	0 421 409 A2	4/91	EP			
/	0 848 315 A2	6/98	EP			
	97/29416	8/97	WO			
	98/55912	12/98	WO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," 16 pages, located at Internet address <www.cl.cam.ac.uk/~mgk25/tamper.html> (1996).
	Anderson, R. and Markus Kuhn, "Tamper Resistance - a Cautionary Note," <i>Proceedings of the Second USENIX Workshop on Electronic Commerce</i> , Oakland CA, pp. 1-11 (November 1996)
	Balacheff, B., et al., "Smartcards - From Security Tokens to Intelligent Adjuncts," <i>CARDIS 1998 Proceedings</i> , pp. 71-84 (September 1998).
	Chaum, D., "Security Without Identification: Transaction Systems to Make Big Brother Obsolete," <i>Communications of the ACM</i> , Vol. 28, No. 10, pp. 1030-1044 (October 1985)

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		Intel, "Wired for Management Baseline specification v2.0," <i>Boot Integrity Services Application Programming Interface Version 1.0</i> , 64 pages (December 28, 1998).
		"Information technology-Security techniques-Entity Authentication - Part 3: Mechanisms using digital signature techniques," <i>ISO/IEC 9798-3</i> , 6 pages (1998).
		"Information technology-Security techniques-Key management - Part 3: Mechanisms using asymmetric techniques," <i>ISO/IEC 11770-3</i> , pp. 1-23 and Annexes A-D (1999).
		Thomasson, J.-P. and L. Baldi, "Smartcards: Portable Security," <i>2nd Annual IEEE International Conference on Innovation Systems in Silicon</i> , pp. 259-265 (October 1997).
		The Trusted Computing Platform Alliance, "Building a Foundation of Trust in the PC," 9 pages, located at Internet address < www.trustedpc.org/home/home.html > (January 2000).
		Trusted Computing Platform Alliance, <i>Main Specification Version 1.0</i> , 284 pages (January 25, 2001).
		Woo, T.Y.C. and S.S. Lam, "Authentication for Distributed Systems," <i>Computer</i> , Vol. 25, No. 1, pp. 39-52 (January 1992).

<u>EXAMINER</u>	<u>DATE CONSIDERED</u>

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.